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MERCK AND CO., INC			KIM, VICKIE Y	
P O BOX 2000 RAHWAY, NJ 07065-0907			ART UNIT	PAPER NUMBER
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# BEFORE THE BOARD OF PATENT APPEALS AND INTERFERENCES

Application Number: 10/010,678 Filing Date: December 07, 2001 Appellant(s): GORMLEY ET AL.

Catherine D. Fitch For Appellant

#### **EXAMINER'S ANSWER**

This is in response to the appeal brief filed 12/16, 2005 appealing from the office action mailed May 6, 2005. Thus, the instant office action(Examiner's Answer) supercedes any previous office action.

## (1) Real Party in Interest

A statement identifying by name the real party in interest is contained in the brief.

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(2) Related Appeals and Interferences

The examiner is not aware of any related appeals, interferences, or judicial proceedings which will directly affect or be directly affected by or have a bearing on the Board's decision in the pending appeal, other than the earlier appeal in the present application, Appeal No. 2004-0543, decision mailed December 29,2004.

(3) Status of Claims

The statement of the status of claims contained in the brief is correct.

(4) Status of Amendments After Final

The appellant's statement of the status of amendments after final rejection contained in the brief is correct.

(5) Summary of Claimed Subject Matter

The summary of claimed subject matter contained in the brief is correct.

(6) Grounds of Rejection to be Reviewed on Appeal

The appellant's statement of the grounds of rejection to be reviewed on appeal is correct.

(7) Claims Appendix

The copy of the appealed claims contained in the Appendix to the brief is correct.

(8) Evidence Relied Upon

US5,407,944

**GOLDMAN** 

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(9) Grounds of Rejection

The following ground(s) of rejection are applicable to the appealed claims:

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### Claim Rejections - 35 USC § 103

1. The text of those sections of Title 35, U.S. Code not included in this action can be found in a prior Office action.

2. Claims 28-37 are rejected under 35 U.S.C. 103(a) as being unpatentable over Goldman (US 5407944).

Claim 28 is directed to a method of treating androgenic alopecia consisting essentially of transversally administering to a person in need of such treatment a therapeutically effective amount of a 5  $\alpha$ -reductase 2 inhibitor; claim 30 specifies administration by transferal skin patch; claim 33 specifies that the 5  $\alpha$ - reductase 2 inhibitor is  $17\beta$ -(N-tert-butylcarbamoyl)4-aza-5 $\alpha$ -androst-1-ene-3-one), otherwise known as "Finasteride" ;claim 36 is directed to a skin patch consisting essentially of a 5  $\alpha$ -reductase 2 inhibitor.

Goldman(US'944, hereinafter) teaches that androgenic alopecia/male pattern baldness can be treated topically or systemically with a combination of three agents: a vasodilator; an estradiol; and 5 α-reductase inhibitor(column 2, lines 42-46; column 6, lines 5-9), "A higly preferred inhibitor of ....5 α-reductase for use in [Goldman's] compositions and methods" (column 5, lines 43-44), indeed the only 5-α-reductase inhibitor specifically mentioned, is finasteride(column 5, lines 43-62). While "each agent of the combination need not be administered in the same manner"(column 2, lines 65-67), "in a highly preferred embodiment the selected agents are administered from a

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single vehicle in unit dosage form, including tablet, capsule, and transderma patches or preparation" (column 3, lines 7-10).

While Goldman does not specifically describe incorporating a 5  $\alpha$ -reductase inhibitor into a transdermal skin patch and using the patch to treat androgenic alopecia, he explicitly suggests doing just that. Moreover, Goldman identifies finasteride as a "highly preferred" 5  $\alpha$ -reductase inhibitor for this purpose. The therapeutic effectiveness of finasteride for hair growth (e.g.alopecia(baldness) treatment) is well known in the field as evidenced by numerous documents conventionally\* known in the art at the time of the invention was made(\*see PTO-892, for example Ramusson(EP0285382) . It would have been obvious for one skilled in the art to have treated androgenic by transdermal administration of a pharmaceutical preparation, e.g. a transdermal skin patch, consisting essentially of a 5  $\alpha$ -reductase inhibitor, e.g. finasteride, in view of Goldman's explicit suggestions.

#### (10) Response to Argument

#### "Consisting Essentially Of"

Applicants amend the claims and argues that the pending claims 28-37 are patentably distinguished over Goldman in view of the transition phrase "consisting essentially of" (Request for reconsideration and withdrawal of the rejection, see Remark section at page 6, filed 1/31/05). According to applicants, the recitation of the claimed language in claims "consisting essentially of 5  $\alpha$ -reductase inhibitor (claims 28-32) or finasteride (claim 33-37)" exclude a vasodilator or an estradiol as active agent taught in Goldman's teaching.

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The examiner disagree.

As stated in <u>PPG Indus., Inc. V. Guardian Indus. Corp.</u>, 156 F 3d 1351, 1355, 48 USPQ 2d 1351, 1353-1354(Fed. Cir. 1998),

By using the term "consisting essentially of," the drafter signals that the invention necessarily includes the listed ingredients and is open to unlisted ingredients that do not materially affect the basic and novel properties of the invention. A "consisting essentially of" claim occupies a middle ground between closed claims that are written in a "consisting of" format and fully open claims that are drafted in a "comprising format.(Emphasis added).

Here, applicants' argument that "consisting essentially of" excludes those vasodilator or estradiols of Goldman is an example of <u>idse dixit</u> reasoning. Applicants do not describe the "basic and novel properties of the invention," or explain why or establish how the vasodilators or estradiols of Goldman materially affects those properties. The therapeutic effectiveness of 5  $\alpha$ -reductase inhibitor (e.g. finasteride) as an active ingredient for hair growth (e.g.alopecia(baldness) treatment) is already well known in the field as evidenced by numerous documents conventionally\* known in the art at the time of the invention was made(\*see previous PTO-892s, for example Ramusson (EP0285382)) .

Additionally, it is apparent from applicant's specification(pages 7-9) that the composition of the claimed method may include a host of ingredients or additives. On this record, it is unclear why the vasodilators or estradiols of Goldman would "materially affect" the basic and novel properties of the invention and, accordingly, be excluded by the phrase "consisting essentially of," whereas the host of ingredients listed in the specification do <u>not</u> materially affect the basic and novel properties of the invention and, accordingly, are included by the phrase "consisting essentially of." Applicants have not

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made it clear, in their specification or in their request for reconsideration, what they "regarded as constituting a material change in the c the basic and novel properties of the invention."

For the reasons above, the claimed subject matter is not patentably distinct from the prior art of the record.

## (11) Related Proceeding(s) Appendix

Copies of the court or Board decision(s) identified in the Related Appeals and Interferences section of this examiner's answer are provided herein.

For the above reasons, it is believed that the rejections should be sustained.

Respectfully submitted,

Vickie Kim

**Primary Patent Examiner** 

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Date:March 17, 2006

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